



## SCHEDULE OF SERVICE FEES

### Account Fees

Wired Funds	\$25.00 per wire transfer
Service Charge	\$4.95 per confirmation* (minimum)
Margin and COD Extensions	\$5.00 per event
Reorganization Items	\$40.00 per event
Paper Confirmation Fee	\$1.00 per confirmation
Paper Statement Fee	\$1.00 per statement
Account Inactivity Fee (non fee-based accounts)	\$25.00**
Annual Account Maintenance Fee	\$5.00***

### Transfer Fees

Legal, GNMA, and restricted Items	\$60.00 per transfer
Outgoing Account Transfers	\$60.00 per transfer
Global Transfers - Receive/Deliver	\$50.00 per transfer plus settlement
Accommodation Transfer	\$60.00 per transfer
Register and Ship Certificate	\$60.00 per transfer
Direct Registration	\$10.00 per transfer

### Asset Management Accounts (Corestone)

Silver Account	\$25.00 annually
Silver Plus Account	\$50.00 annually
Gold Account	\$100.00 annually
Gold Corporate Account	\$150.00 annually
Platinum Account	\$150.00 annually
Platinum Corporate Account	\$250.00 annually
Checking Stop Payment	\$25.00 per event
Returned Checks	\$25.00 per event
Check Re-order Fee	\$12.50 per re-order

### Tax-Lot and Portfolio Evaluation Services (PES)

Online Performance Package	\$60.00 per transfer
Gain/Loss Statement	
Market Value < \$150,000	\$1.00 per account per month
Market Value < \$150,000	Fee Waived
Online Performance Package	\$2.00 per account per month

*\*Service Charge includes trade confirmation and postage and handling fee and may be increased to also include clearing costs, ticket costs charged to representative from broker/dealer and any applicable exchange or transaction fees.*

*\*\*You may be charged a fee of \$25.00 on any non-fee based account that has no trading activity in a 12 month period*

*\*\*\*Delta Equity Services Corporation has implemented a \$5.00 annual account maintenance fee for each account. This fee is designed to help offset both the general costs related to servicing your account and the increased costs of providing the protections offered by the Securities Investor Protection Corporation (SIPC®) to our clients. Delta Equity Services Corporation ("Delta") is a member of SIPC which provides account protection up to \$500,000 in value, including \$100,000 in cash awaiting reinvestment. SIPC provides protection for clients of brokerage firms against losses should a SIPC member firm fail financially and become unable to meet obligations to its securities clients. SIPC does not provide protection for clients against losses that result from investing activity. Due to recent events in the financial markets, SIPC was forced to substantially increase the assessment charged to its members.*